

Advisor Services

Our goal is to provide you with technology that helps you put clients first.

In Q4 of 2018 we delivered several enhancements, including more in-depth status information on the important requests you are working with us on, the addition of mutual funds to Institutional Intelligent Portfolios®, and a pilot of our end-to-end digital account open process.

Keep reading to learn about our latest integrations and features in four key areas:



Digital custody
tools and
service



Trading and
portfolio
management



Cybersecurity
and fraud



Integration
with third
parties

Latest enhancements



Digital custody
tools and service

What's new	Summary
Activity tab in Schwab Advisor Center®	To provide greater transparency and insight into your important requests, we've replaced the Status tab with a new Activity tab on Schwab Advisor Center. The new Activity tab provides consolidated information on your firm's open account maintenance, cost basis, and wire transfers, and allows you to send a message directly to your service team from the Status page.
Trading tool to compare portfolios with any fund	When you select an account on the Watch List, Order Entry, or Trade From Positions tabs, a new Portfolio Analysis option lets you enter a fund name, or even a target date fund, and use it as a benchmark to generate an instant comparison with the selected account. This allows you to more easily review the impact of recently executed trades on the affected portfolio.
Dedicated cost basis topic and subtopics	Our team can now handle your requests as a normal part of the service queue, delivering an experience that's consistent across all service requests.
Mutual funds added to Institutional Intelligent Portfolios	We've added more options to expand and customize the suite of portfolios you offer clients. These include the ability to offer mutual fund or exchange traded fund portfolios, modify the rebalance drift-threshold for each asset class, and add inherited IRA accounts for an individual or minor beneficiary.



Trading and
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management



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Integration with
third parties

What's new	Summary
Enhanced security option for clients' electronic trade confirmation emails	For clients enrolled in electronic trade confirmations, you can choose between email and online delivery preferences for paperless trade confirmations.
Schwab Alliance two-step verification	Clients enrolled in two-step verification can access authentication codes from within the Login Settings section of the mobile app.
New integration with three tech providers	Advyzon, Agreement Express, and AdvisorEngine will begin building integration with our platform.
Digital Account Open third-party integration pilot	We're piloting integration of our new digital account open workflow process with third-party platforms, starting with Tamarac and Orion. This lets you initiate the account open process using these third-party systems, prepopulate account applications with existing client information, and send the applications to your clients for electronic approval.

What's next

- Expanding Digital Account Open to Schwab One® and IRA accounts. Digital Account Open is already available for Schwab Charitable and Institutional Intelligent Portfolios.
- Schwab Advisor Portfolio Connect™ general availability.
- Extending our Move Money and eApproval feature to most of your client accounts, even when you have limited trading and money movement authority including NDFA and LPFA.
- Schwab Alliance self-serve password resets.

Don't miss these key resources

- **Learn more about technology adoption support:** Get an [overview](#) of how our digital tools and support can help you improve your client experience and [start building your adoption strategy](#).
- **Watch a message on our tech strategy:** [Hear Schwab's leadership team](#) share how our platform is evolving to benefit you with new features such as Digital Account Open and complimentary portfolio management tools that elevate the client experience.
- **Research third-party integration vendors:** Navigate the technology vendor landscape on our redesigned [Schwab Intelligent Technologies](#) website, which makes it easy to learn about third-party vendors and how they integrate with Schwab.

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